

PTO/SB/30 (5/2000)
OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Subsection (b) of 35 U.S.C. §132, effective on May 29, 2000,
provides for continued examination of an utility or plant application
filed on or after June 8, 1995.

See The American Inventors Protection Act of 1999 (AIPA).

APPLICATION NUMBER	09/466,961
FILING DATE	12/20/1999
FIRST NAMED INVENTOR	YOUNG GYOUNG CHANG
GROUP ART UNIT	2815
EXAMINER NAME	PAUL BROCK II

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

NOTE:

37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53(d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

1. Submission required under 37 C.F.R. § 1.114

- a. ☐ Previously submitted
- i. ☒ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on **January 24, 2002**. (Any unentered amendment(s) referred to above will be entered.)
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on
- iii. ☐ Other
- b. ☐ Enclosed
- i. ☐ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other

2. Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of ____ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(i) required.)
- b. ☐ Other

3. Fees (The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed.)

- a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 50-0911.
- i. ☒ RCE fee required under 37 C.F.R. § 1.17(e)
- ii. ☒ Extension of time fee (37 C.F.R. §§ 1.136 and 1.17)
- iii. ☐ Other
- b. ☒ Checks totaling \$ 850.00 are enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name	John M. Kelly	Registration No.	33,920
Signature		Date	February 25, 2002

02/27/2002 GTEFFERA 00000070 09466961

02 FC:179

740.00 DP

DC:94954.1



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RCE/2815

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PATENT TRADEMARK OFFICE

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/466,961
	Filing Date	December 20, 1999
	First Named Inventor	Youn G. Chang
	Group Art Unit	2815
	Examiner Name	P. Brock
Total Number of Pages in This Submission		Attorney Docket Number 8733.055.00-US

ENCLOSURES (check all that apply)☒ Fee Transmittal Form☒ Fee Attached☐ Amendment/Reply☐ After Final☐ Affidavits/declaration(s)☒ Extension of Time Request☐ Express Abandonment Request☐ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☐ Response to Missing Parts/Incomplete Application☐ Response to Missing Parts under 37 CFR 1.52 or 1.53☐ Assignment Papers
(for an Application)☐ Drawing(s)☐ Licensing-related Papers☐ Petition☐ Petition to Convert to a Provisional Application☐ Power of Attorney, Revocation
Change of Correspondence Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s) _____☐ After Allowance Communication to Group☐ Appeal Communication to Board of Appeals and Interferences☐ Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Other Enclosure(s)
(please identify below)RCE Transmittal
Check for \$110.00 (USPTO EOT Fee)
Check for \$740.00 (USPTO RCE Fee)

Remarks

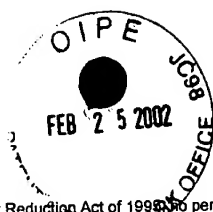
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENTFirm
or
Individual NameLONG ALDRIDGE & NORMAN LLP
John M. Kelly

Signature

Date

February 25, 2002

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FEE TRANSMITTAL for FY 2002 <small>Patent fees are subject to annual revision.</small>		Complete if Known	
<input type="checkbox"/> Application claims small entity status. See 37 CFR 1.27		Application Number	09/466,961
		Filing Date	December 20, 1999
		First Named Inventor	Youn G. Chang
		Examiner Name	P. Brock
		Group Art Unit	2815
		Attorney Docket No.	8733.055.00-US
TOTAL AMOUNT OF PAYMENT (\$)		850.00	
METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)	
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None		3. ADDITIONAL FEES	
<input type="checkbox"/> Deposit Account		Large Entity Small Entity	
Deposit Account Number 50-0911		Fee Code Fee (\$)	
Deposit Account Name Long Aldridge & Norman LLP		Fee Description Fee Paid	
The Commissioner is hereby authorized to: (check all that apply)		105 130 205 65 Surcharge - late filing fee or oath	
<input type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments		127 50 227 25 Surcharge - late provisional filing fee or cover sheet.	
<input checked="" type="checkbox"/> Charge any additional fee(s) during the pendency of this application		139 130 139 130 Non-English specification	
<input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.		147 2,520 147 2,520 For filing a request for ex parte reexamination	
FEE CALCULATION		112 920* 112 920* Requesting publication of SIR prior to Examiner action	
1. BASIC FILING FEE		113 1,840* 113 1,840* Requesting publication of SIR after Examiner action	
Large Entity Small Entity		115 110 215 55 Extension for reply within first month	
Fee Code Fee (\$)		116 400 216 200 Extension for reply within second month	
101 740 201 370 Utility filing fee		117 920 217 460 Extension for reply within third month	
106 330 206 165 Design filing fee		118 1,440 218 720 Extension for reply within fourth month	
107 510 207 255 Plant filing fee		128 1,960 228 980 Extension for reply within fifth month	
108 740 208 370 Reissue filing fee		119 320 219 160 Notice of Appeal	
114 160 214 80 Provisional filing fee		120 320 220 160 Filing a brief in support of an appeal	
SUBTOTAL (1) (\$)		121 280 221 140 Request for oral hearing	
0.00		138 1,510 138 1,510 Petition to institute a public use proceeding	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE		140 110 240 55 Petition to revive - unavoidable	
Total Claims <input type="checkbox"/> ** = <input type="checkbox"/> x <input type="checkbox"/> = <input type="checkbox"/>		141 1,280 241 640 Petition to revive - unintentional	
Independent Claims <input type="checkbox"/> ** = <input type="checkbox"/> x <input type="checkbox"/> = <input type="checkbox"/>		142 1,280 242 640 Utility issue fee (or reissue)	
Multiple Dependent <input type="checkbox"/> = <input type="checkbox"/>		143 460 243 230 Design issue fee	
Large Entity Small Entity		144 620 244 310 Plant issue fee	
Fee Code Fee (\$)		122 130 122 130 Petitions to the Commissioner	
103 18 203 9 Claims in excess of 20		123 50 123 50 Processing fee under 37 CFR 1.17(q)	
102 84 202 42 Independent claims in excess of 3		126 180 126 180 Submission of Information Disclosure Stmt	
104 280 204 140 Multiple dependent claim, if not paid		581 40 581 40 Recording each patent assignment per property (times number of properties)	
109 84 209 42 ** Reissue independent claims over original patent		146 740 246 370 Filing a submission after final rejection (37 CFR 1.129(a))	
110 18 210 9 ** Reissue claims in excess of 20 and over original patent		149 740 249 370 For each additional invention to be examined (37 CFR 1.129(h))	
SUBTOTAL (2) (\$)		179 740 279 370 Request for Continued Examination (RCE)	
0.00		169 900 169 900 Request for expedited examination of a design application	
**or number previously paid, if greater; For Reissues, see above		Other fee (specify)	
SUBMITTED BY		Complete (if applicable)	
Name (Print/Type) John M. Kelly		Registration No. 33,920	
Signature		Telephone (202) 624-1285	
		Date February 25, 2002	